

FORM PTO-1390 (Modified) (REV. 1-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>2002FR301</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>NOT ASSIGNED</b> 10/500 986	
INTERNATIONAL APPLICATION NO. <b>PCT/IB03/00535</b>	INTERNATIONAL FILING DATE <b>February 2, 2003</b>	PRIORITY DATE CLAIMED <b>February 13, 2002</b>	
TITLE OF INVENTION <b>PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES</b>			
APPLICANT(S) FOR DO/EO/US <b>Eric JACQUINOT, Didier BOUVET, Patrice BEAUD</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>			
Items 13 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input checked="" type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Express Mail Label No. <u>EV 068893315 US</u></li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol>			
<b>Form PCT/IPEA/416</b> <b>Form PCT/IB/306</b>			

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR <b>107500986 NOT ASSIGNED</b>	INTERNATIONAL APPLICATION NO. <b>PCT/IB03/00535</b>	ATTORNEY'S DOCKET NUMBER <b>2002FR301</b>																
24. The following fees are submitted.:		<b>CALCULATIONS PTO USE ONLY</b>																
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>																		
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b>																		
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>																		
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b>																		
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b>																		
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>																		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$920.00</b>																
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		<b>\$0.00</b>																
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">CLAIMS</th> <th style="text-align: left; padding: 2px;">NUMBER FILED</th> <th style="text-align: left; padding: 2px;">NUMBER EXTRA</th> <th style="text-align: left; padding: 2px;">RATE</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">Total claims</td> <td style="padding: 2px;">22 - 20 =</td> <td style="padding: 2px;">2</td> <td style="padding: 2px;">x \$18.00</td> </tr> <tr> <td style="padding: 2px;">Independent claims</td> <td style="padding: 2px;">- 3 =</td> <td style="padding: 2px;">0</td> <td style="padding: 2px;">x \$86.00</td> </tr> <tr> <td colspan="2"></td> <td style="text-align: center; padding: 2px;"><input type="checkbox"/></td> <td style="text-align: center; padding: 2px;"><b>\$0.00</b></td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	22 - 20 =	2	x \$18.00	Independent claims	- 3 =	0	x \$86.00			<input type="checkbox"/>	<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total claims	22 - 20 =	2	x \$18.00															
Independent claims	- 3 =	0	x \$86.00															
		<input type="checkbox"/>	<b>\$0.00</b>															
Multiple Dependent Claims (check if applicable).																		
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$956.00</b>																
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		<b>\$0.00</b>																
<b>SUBTOTAL =</b>		<b>\$956.00</b>																
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).		<b>\$0.00</b>																
<b>TOTAL NATIONAL FEE =</b>		<b>\$956.00</b>																
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<b>\$0.00</b>																
<b>TOTAL FEES ENCLOSED =</b>		<b>\$956.00</b>																
		<b>Amount to be: \$</b>																
		<b>refunded</b>																
		<b>charged</b>																
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>03-2060</u> in the amount of <u>\$956.00</u> to cover the above fees. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2060</u> d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																		
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	Application Number	To be Assigned
	Filing Date	July 6, 2004
	First Named Inventor	Eric JACQUINOT
	Art Unit	To be Assigned
	Examiner Name	To be Assigned
	Attorney Docket Number	2002FR301

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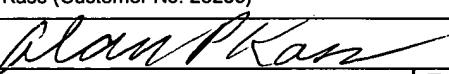
Applicant/Inventor

Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or Agent of record. Registration Number 32142

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed Name Alan P. Kass (Customer No. 25255)

Signature 

Date July 6, 2004

Telephone (908) 595-3890

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF MAILING "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 2002FR301	
Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD				
Application No. NOT ASSIGNED	Filing Date July 6, 2004	Examiner NOT ASSIGNED	Customer No. 26289	Group Art Unit NOT ASSIGNED

Invention:

PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES

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Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD				
Application No. NOT ASSIGNED	Filing Date July 6, 2004	Examiner NOT ASSIGNED	Customer No. 26289	Group Art Unit NOT ASSIGNED
<p>Invention:</p> <p style="text-align: center;"><b>PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES</b></p>				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin-bottom: 10px;"> <p style="text-align: center;"><b>Transmittal Letter - 2 Pages</b></p> </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p>				
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Docket No.

2002FR301

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Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD

Application No. NOT ASSIGNED	Filing Date July 6, 2004	Examiner NOT ASSIGNED	Customer No. 26289	Group Art Unit NOT ASSIGNED
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Invention:

PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES

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July 6, 2004Examiner  
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26289Group Art Unit  
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Invention:

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Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD

Docket No.

2002FR301

Application No.  
NOT ASSIGNEDFiling Date  
July 6, 2004Examiner  
NOT ASSIGNEDCustomer No.  
26289Group Art Unit  
NOT ASSIGNED

Invention:

PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES

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Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD

Application No. NOT ASSIGNED	Filing Date July 6, 2004	Examiner NOT ASSIGNED	Customer No. 26289	Group Art Unit NOT ASSIGNED
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Invention:

## PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES

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An English language translation of the amendments to the claims under PCT Article 19  
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Docket No.

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Application No.  
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July 6, 2004Examiner  
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26289Group Art Unit  
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Invention:

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**CERTIFICATE OF MAILING** **EXpress MAIL** (37 CFR 1.10)

Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD

Docket No.

2002FR301

Application No.  
NOT ASSIGNED

Filing Date  
July 6, 2004

Examiner  
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Customer No.  
26289

Group Art Unit  
NOT ASSIGNED

Invention:

**PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES**

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<b>CERTIFICATE OF MAILING FOR "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Eric JACQUINOT, Didier BOUVET, Patrice BEAUD			Docket No: 06 JU 4 2002FR301	
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Invention: <b>PROCESS FOR THE CHEMICAL-MECHANICAL POLISHING OF METAL SUBSTRATES</b>				
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